



REGULATORY COMPLIANCE AND INVESTIGATIONS

OVERVIEW

Anchin's Regulatory Compliance and Investigations group specializes in a variety of compliance and financial accounting services. With more than 30 years of experience, our team focuses on highly regulated industries including construction, transportation, infrastructure, retail, hospitality, not-for-profits, specialty health care and pharmaceuticals. We have monitored projects covering billions of dollars of costs. Our clients include numerous federal, state and local agencies.

Our areas of specialty include, but are not limited, to

- Integrity monitoring
- Municipal project compliance
- Privately-funded project compliance,
- Damage calculations,
- Resident and zip code analysis

We take the extra steps to drill down to ensure compliance.

- Certified payroll report review
- Payment application and invoice review
- Contractor payment verification
- Site visits
- Wage and benefit analysis
- Trade and classification analysis
- Local community impact studies

We use easy-to-understand visuals and mapping tools to document and effectively present our findings to stakeholders and the community at large.

MINORITY, WOMEN, DISADVANTAGED AND SMALL BUSINESS COMPLIANCE

Many of the engagements we monitor include minority, women, disadvantaged and small business compliance goals. A major focus is to examine and monitor Minority and Women owned Business Enterprises (MWBE) compliance and the implementation of diversity plans. We incorporate the latest digital systems, analytical procedures, controls and processes to ensure contractors fulfill your diversity goals during the entire bidding process as well as after contracts are awarded.

We conduct monthly reviews of all active parties and track their compliance performance. For one of our clients we monitored and verified for more than 4 million hours. Our scrutiny and pressure has resulted in more than \$178 million awarded to eligible business contractors. Furthermore, our knowledge and expertise allows us to assist state agencies to review and recommend certification status of disadvantaged businesses. With extensive understanding of the intricacies of Emerging Business Enterprise (EBE) requirements and the North American Industry Classification System (NAICS), we have properly analyzed and reviewed more than over 500 Small Disadvantaged Business (SDB) applications.

WHY ANCHIN?

Anchin stands out from other accounting and advisory firms due to our collaborative approach and lasting relationships. We are dedicated to strengthening the internal foundation of your business for continued success. We tap into our wealth of experience in countless accounting and advisory services that are specific to your industry. We work closely with federal, state and local agencies, Fortune 500 and global companies, and all categories of not-for-profits and municipalities.

Anchin's Regulatory Compliance and Investigations practice strives to provide excellent service and ensure your protection. Our proactive monitorships and investigations, and the implementation of the best strategies and processes, ensures that our most efficient data collection and analysis will exceed your needs.



BRIAN SANVIDGE, CIG, CFE

Principal Leader of Regulatory Compliance and Investigations

Court Appointed Forensic Accountant

Former Inspector General for Several NYS Agencies

Certified Financial Records Expert

Witness in the National White Collar Crime Center

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